

# HW6 solution

MTLE-6120: Spring 2017

Due: Apr 17, 2017

## 1. Critical magnetic field of a superconductor

Consider a metal with some density of states per unit volume  $g(E)$  in its normal state, which becomes a BCS superconductor below a critical temperature  $T_c$ . In BCS theory, the superconducting gap at zero temperature is given by  $\Delta = 1.76k_B T_c$ .

- (a) If all the electrons with energy  $E_F - \Delta < E < E_F$  pair up with binding energy  $\Delta$  per pair, what is the gain in energy density of the superconductor relative to the normal metal?

The number of electrons per unit volume in that energy range is approximately  $g(E_F)\Delta$ , so the number of pairs formed per unit volume is  $g(E_F)\Delta/2$ , each with energy gain  $\Delta$ . Therefore, the energy density gained relative to the normal metal is  $g(E_F)\Delta^2/2$ .

- (b) What is the energy density incurred in expelling a magnetic field  $B$  due to the Meissner effect?

The superconductor must produce an equal and opposite magnetic field  $B$  to cancel the external field. The energy density of this magnetic field is  $B^2/(2\mu_0)$ .

- (c) Given that at the critical magnetic field  $B_c$ , it is no longer energetically favorable to expel the magnetic field, relate  $B_c$  to  $\Delta$  (at  $T = 0$ ).

The energy cost of expelling the magnetic field is equal to the energy gain of the superconducting state at  $B = B_c$ , so  $B_c^2/(2\mu_0) = g(E_F)\Delta^2/2 \Rightarrow B_c = \sqrt{\mu_0 g(E_F)}\Delta$ .

- (d) Aluminum is face-centered cubic metal with a cubic lattice constant of 4.05 Å, which behaves almost perfectly like a free-electron metal with 3 free electrons per atom. What is its  $g(E_F)$  in SI units ( $\text{J}^{-1}\text{m}^{-3}$ )?

The density of states in a free electron metal is

$$g(E) = \frac{\sqrt{E}}{2\pi^2} \left( \frac{\sqrt{2m}}{\hbar} \right)^3$$

and the Fermi energy is

$$E_F = \frac{\hbar^2}{2m} (3\pi^2 n)^{2/3}$$

where  $n$  is the number density of free electrons. Putting these together,

$$g(E_F) = \frac{m(3\pi^2 n)^{1/3}}{\pi^2 \hbar^2}.$$

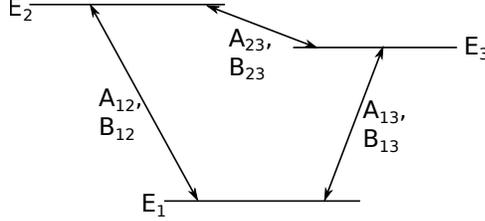
For aluminum, the unit cell volume is  $\Omega = (4.05 \text{ \AA})^3/4 = 1.66 \times 10^{-29} \text{ m}^3$  and the number density is  $n = 3/\Omega = 1.81 \times 10^{29} \text{ m}^{-3}$ . Substituting above,  $g(E_F) = 1.43 \times 10^{47} \text{ J}^{-1}\text{m}^{-3}$ .

- (e) Given that aluminum becomes a BCS superconductor below  $T_c = 1.2 \text{ K}$ , estimate its zero-temperature critical magnetic field  $B_c$  in SI units (Tesla).

$$\begin{aligned} B_c &= \sqrt{\mu_0 g(E_F)}\Delta = \sqrt{\mu_0 g(E_F)} \cdot 1.76k_B T_c \\ &= \sqrt{4\pi \times 10^{-7} \cdot 1.43 \times 10^{47}} \cdot 1.76 \cdot 1.38 \times 10^{-23} \cdot 1.2 \text{ T} \\ &\approx 0.012 \text{ T} \end{aligned}$$

## 2. Optical pumping and fluorescence

Consider the minimal three-level system necessary for fluorescence (and lasing) as shown below. A pump light of intensity  $I_{\text{pump}}$  is tuned to a frequency matching  $E_2 - E_1$ , and assume the intensities at other frequencies are small enough that stimulated emission is negligible for the other transitions. Assume  $A$  and  $B$  coefficients for each pair of states as shown.



- (a) Write the differential equations governing the kinetics of  $N_1$ ,  $N_2$  and  $N_3$ : the populations (electrons / volume) for the three states.

For each pair of states, there is a rate  $AN_{\text{upper}} + BIN_{\text{upper}}$  going from the upper to lower state due to spontaneous and stimulated emission, and a rate  $BIN_{\text{lower}}$  going from the lower to the upper state due to absorption. This results in

$$\begin{aligned}\dot{N}_1 &= [A_{12}N_2 + B_{12}I_{\text{pump}}(N_2 - N_1)] + A_{13}N_3 \\ \dot{N}_2 &= -[A_{12}N_2 + B_{12}I_{\text{pump}}(N_2 - N_1)] - A_{23}N_2 \\ \dot{N}_3 &= A_{23}N_2 - A_{13}N_3\end{aligned}$$

- (b) In steady state, find the ratio  $N_3/N_1$  in order to determine the condition for population inversion ( $N_3 > N_1$ ). Find and interpret the  $I_{\text{pump}} \rightarrow \infty$  limit of this criterion.

In steady state,  $\dot{N}_1 = \dot{N}_2 = \dot{N}_3 = 0$ , so that

$$\begin{aligned}0 &= [A_{12}N_2 + B_{12}I_{\text{pump}}(N_2 - N_1)] + A_{13}N_3 \\ 0 &= -[A_{12}N_2 + B_{12}I_{\text{pump}}(N_2 - N_1)] - A_{23}N_2 \\ 0 &= A_{23}N_2 - A_{13}N_3\end{aligned}$$

Since we want to compare  $N_3$  and  $N_1$ , use the last equation to replace  $N_2$  in favor of  $N_3$  in the second equation (same result if done in first equation). This gives the population inversion criterion:

$$\frac{N_3}{N_1} = \frac{B_{12}I_{\text{pump}}}{A_{13} \left(1 + \frac{A_{12} + B_{12}I_{\text{pump}}}{A_{23}}\right)} > 1$$

In the limit of  $I_{\text{pump}} \rightarrow \infty$ :

$$\frac{N_3}{N_1} = \frac{A_{23}}{A_{13}} > 1$$

which simply means that the spontaneous emission rate from  $3 \rightarrow 1$  must be slower than that from  $3 \rightarrow 2$  (which feeds state 3). Therefore, having a forbidden transition (selection rule) is useful to slow down  $3 \rightarrow 1$ .

- (c) What is the net power density (rate of energy change per unit volume) absorbed from the pump light into the electrons? Assume that stimulated emission puts energy back into  $I_{\text{pump}}$  (best case scenario for efficiency), while the energy from spontaneous emission is lost. Just write the answer in terms of instantaneous  $N_1$ ,  $N_2$ ,  $N_3$  (don't solve for the  $N$ s).

The net rate per unit volume at which photons are being taken from the pump (absorption - stimulated emission) is  $B_{12}I_{\text{pump}}(N_1 - N_2)$ . These photons have energy  $(E_2 - E_1)$ , so the net absorbed power density is  $B_{12}I_{\text{pump}}(N_1 - N_2)(E_2 - E_1)$ .

- (d) Similarly, what is the power density output from the  $3 \rightarrow 1$  transition (fluorescence)? Again, just express in terms of  $N_1, N_2, N_3$  as needed.

The rate of the fluorescence transition is  $A_{13}N_3$  and the photon energy is  $E_3 - E_1$ , so that the power density emitted is  $A_{13}N_3(E_3 - E_1)$ .

- (e) What is the energy efficiency of the fluorescence process in steady state, and how does it depend on  $I_{\text{pump}}$ ? (This time, solve for the  $N$ s in terms of the  $A, B$  parameters and interpret!)

The energy efficiency is the power density emitted / power density absorbed

$$\begin{aligned}
 \text{Efficiency} &= \frac{A_{13}N_3(E_3 - E_1)}{B_{12}I_{\text{pump}}(N_1 - N_2)(E_2 - E_1)} \\
 &= \frac{A_{13}N_3(E_3 - E_1)}{B_{12}I_{\text{pump}} \left( N_1 - N_3 \frac{A_{13}}{A_{23}} \right) (E_2 - E_1)} && \text{last steady state equation} \\
 &= \frac{A_{13} \frac{N_3}{N_1} (E_3 - E_1)}{B_{12}I_{\text{pump}} \left( 1 - \frac{N_3}{N_1} \cdot \frac{A_{13}}{A_{23}} \right) (E_2 - E_1)} \\
 &= \frac{A_{13} \frac{B_{12}I_{\text{pump}}}{A_{13} \left( 1 + \frac{A_{12} + B_{12}I_{\text{pump}}}{A_{23}} \right)} (E_3 - E_1)}{B_{12}I_{\text{pump}} \left( 1 - \frac{B_{12}I_{\text{pump}}}{A_{13} \left( 1 + \frac{A_{12} + B_{12}I_{\text{pump}}}{A_{23}} \right)} \cdot \frac{A_{13}}{A_{23}} \right) (E_2 - E_1)} && \text{using part (b) solution} \\
 &= \frac{A_{23}}{A_{23} + A_{12}} \cdot \frac{E_3 - E_1}{E_2 - E_1}
 \end{aligned}$$

The result is independent of the pump power and depends only on the ratio of two  $A$  parameters, and the energies. The first factor expresses the fraction of electrons excited to state 2 that end up in state 3 instead of going back to state 1 via spontaneous emission. The second factor accounts for the fact that the energy of the emitted photon is smaller than the absorbed one.